

## Fee Schedule

<b>Advantage Checking &amp; Debit Card Fees</b>	
Advantage Account - Basic (check writing only)	No annual fee
Advantage Account - Standard (debit card & check writing)	\$125 annual fee
Initial order-standard wallet (40 checks) - Individual	Free Service
Re-order standard wallet (120 checks) - Individual	\$9 (plus applicable shipping & tax)
Initial order-standard duplicate (120 checks) - Individual	\$20 (plus applicable shipping & tax)
Re-order standard duplicate (120 checks) - Individual	\$20 (plus applicable shipping & tax)
Initial order - Three to a page - (252 checks, w/cover) - Corporate	\$151.09 (plus applicable shipping & tax)
Re-order - Three to a page - (252 checks, w/o cover) - Corporate	\$105.92 (plus applicable shipping & tax)
Insufficient Funds	\$20
Stop Payment Order	\$25
Returned Checks	\$25
Check Research	\$5 each
Check Copies	Three free copies per month; \$15 each over 3
ATM Transaction	No internal service fee
Additional Debit Card	\$15 each
Overnight Fee for rush order of Debit Cards	\$20 per mailing
<b>Transfer &amp; Physical Processing Fees</b> (any additional transfer agent or depository fees are passed on at cost)	
Account Transfers Outgoing (ACAT/Non-ACAT)	\$95
Physical Certificate Issuance (if possible) - Per security	\$500 (stocks, registered bonds, & bearer bonds) \$500 rush transfer fee \$35 (GNMA bonds) \$500 (foreign securities)
Lost Certificate Replacement	\$100 plus any out of pocket or pass through fees
Deposit or Withdrawal at Custodian (DWAC)	\$150 outbound
Delivery of position through Direct Registration System	Free Service
Physical Certificate Reject Fee	\$50 per item
Direct Registration Reject Fee	\$75 per item
Safekeeping	\$150 per position annual charge \$500 one time "presentment" fee for new positions
<b>Reorganization Activity</b>	
Non-Physical	Free Service

<b>Wire Transfers - Funds</b>	
Domestic	\$15 per wire
International	\$25 per wire
<b>First Clearing as Custodian Retirement Account Fees</b>	
IRA Custodial Fee- Annual Maintenance	\$55 unless account value is over \$100,000 or meets other waiver criteria
IRA Custodial Fee - Termination Fee	\$95 unless client is over 70.5 years old or terminating due to disability or death
<b>Annual Inactive Account Fees</b>	
Custodial Accounts (UGMA/UTMA)	\$45 unless account meets waiver criteria
All other Accounts (excluding IRAs, Advantage Standard with debit card, and advisory accounts)	\$65 unless account meets waiver criteria
<b>Other Fees</b>	
Prepayment on Sale (payment prior to settlement date)	\$15 or margin interest (whichever is greater)
Overnight Mail	\$15 minimum (varies by location & method)
Client Online Access to Account	Free Service
Online Bill Pay	Free Service
Deposited Item Returned	\$10 per item
Trade Handling Fee	\$6.75 (charges may be up to \$6.75)
Bond Redemptions	Free Service
Transfer on Death Application Fee	Free Service
Stop Payment on checks issued by EK Riley Investments, LLC	Free Service
Historical Pricing for Estate Valuations	\$2.50 per position / \$50 minimum
Outside Investment Custodial Fee	\$100 annually
Research or Document Retrieval	\$15/hour (1 hour minimum)

*We may charge your account(s) with such usual and customary charges as EKR or our Clearing Firm may determine to cover our services, or the termination of such services, including, but not limited to custody and transaction fees. Fees (which are subject to change) may be charged for the services listed above. There may be other fees applicable to specific programs which are not listed here. Please check with your representative as to the amount of any fees which may be charged to your Account.*